

USPTO LIEU OF PTO-1390 (Rev. 10-2004)
Reflects USPTO filing fees in effect from 12/___/04

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 14200/023001
		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/517,589
INTERNATIONAL APPLICATION NO. PCT/JP03/07461	INTERNATIONAL FILING DATE 12 June 2003	PRIORITY DATE CLAIMED 13 June 2002
TITLE OF INVENTION SPHERICAL LIGHT STORING PHOSPHOR POWDER AND PROCESS FOR PRODUCING THE SAME		
APPLICANT(S) FOR DO/EO/US Toshinobu Fukui		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

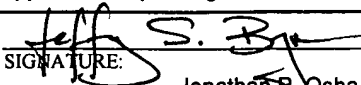
- ☐ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
- ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
- ☐ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
- ☐ The US has been elected (Article 31).
- ☐ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - ☐ is attached hereto (required only if not communicated by the International Bureau).
 - ☐ has been communicated by the International Bureau.
 - ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
 - ☐ is attached hereto.
 - ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
- ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - ☐ are attached hereto (required only if not communicated by the International Bureau).
 - ☐ have been communicated by the International Bureau.
 - ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - ☐ have not been made and will not be made.
- ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
- ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
- ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11 to 20 below concern document(s) or information included:

- ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☐ A preliminary amendment.
- ☐ An Application Data Sheet under 37 CFR 1.76.
- ☐ A substitute specification.
- ☐ A power of attorney and/or change of address letter.
- ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
- ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
- ☒ Other items or information: Return Receipt Postcard; Information Disclosure Statement (2 pages); PTO/SB/08 (1 page); 6 cited references (59 pages)

22511

PATENT TRADEMARK OFFICE

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/517,589	INTERNATIONAL APPLICATION NO. PCT/JP03/07461	ATTORNEY'S DOCKET NUMBER 14200/023001
21. <input type="checkbox"/> The following fees are submitted:		CALCULATIONS PTO USE ONLY
BASIC NATIONAL FEE		
Filing Fee		\$
Search Fee		\$
Examination Fee		\$
Application Size Fee, each additional 50 sheets over 100 sheets		\$
TOTAL FILING FEE =		\$
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).		\$
CLAIMS	NUMBER FILED	NUMBER EXTRA
Total claims	6-20 =	x
Independent claims	1-3 =	x
MULTIPLE DEPENDENT CLAIM(s) (if applicable)		+
TOTAL OF ABOVE CALCULATIONS =		\$
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.		\$
SUBTOTAL =		\$
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).		\$
TOTAL NATIONAL FEE =		\$
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		+\$
TOTAL FEES ENCLOSED =		\$
		Amount to be refunded: \$
		Amount to be charged: \$
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-0591</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</p>		
<p>SEND ALL CORRESPONDENCE TO:</p> <div style="text-align: right;">  SIGNATURE: _____ NAME: Jonathan P. Osha </div> <div style="text-align: right; margin-top: 10px;"> CUSTOMER NUMBER: 22511 REGISTRATION NUMBER: <u>33,986</u> </div>		

Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV535681617 in an envelope addressed to:

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Beri Hartwell

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713-228-8600
Telephone Number

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Transmittal Letter to the US Designated Office (2 pages)
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Information Disclosure Statement (2 pages)
SB/08 (1 page)
6 Cited References (59 pages)

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Beri W. Hartwell
Typed or printed name of person signing Certificate

N/A
Registration Number, if applicable

(713) 228-8600
Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

IDS (Citation) by Applicant (1 page)
Copies of 6 References (59 pages)
Information Disclosure Statement (2 pages)